

A SYSTEMATIC STUDY OF HOMOLOGOUS PROTEIN STRUCTURES WITH INSERTIONS/DELETIONS

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Sequence insertions/deletions (indels) represent one of the mechanisms of protein evolution. Alternative splicing (AS), considered as the major means of expanding structural and functional diversity in eukaryotes, can generate protein isoforms with indels when compared to the reference splicing variant. Knowledge of the effect of indels on the structural changes of the isoform structures is essential to our understanding of the functionality of splicing isoforms and protein evolution. Very little is known about how the indels, especially the ones that involve the core secondary structures, affect protein structures as only a few genes (<10) have two solved isoform structures. Here we show a systematic analysis on the structural changes due to indels through mining the Protein Data Bank (PDB) for highly homologous proteins. We found that more than 30% of indel residues adopt disordered “conformation”, which is significantly higher than that in the control dataset. In addition, protein structures tend to be conserved and can tolerate structural insertions and deletions, suggesting the plasticity of protein structures. We also presented examples to show how structural core conservation and sequence/structure flexibility can help accurately predict isoform structures with indels, which has been shown to be extremely difficult with current comparative modeling techniques. To our knowledge, this is the first systematic study of the effects of indels on structural changes.

1. INTRODUCTION

As protein evolves, insertions and deletions (indels) can be introduced to create protein variants for survival needs. A recent large-scale indel analysis at sequence level revealed that up to 5-10% of all proteins contained indels when using human homologs as references¹. Alternative splicing (AS), a major mechanism in eukaryotes for increasing the proteome size and functional diversity, is a primary source of generating many protein isoforms with indels^{2,3}. It has been shown that alternatively spliced protein isoforms are involved in a variety of biological processes and deviant splicing could have serious implications^{4,5}.

While high-throughput data analysis suggested that up to 94% of human genes undergo alternative splicing, and provided a genome-wide view of the evolution and regulation of alternative splicing^{3,6-8}, our general knowledge of the isoform protein structures is very limited. Little is known about how alternative splicing affects protein structures. Currently, fewer than 10 alternatively spliced isoforms with documented structures are in the Protein Data Bank (PDB)^{9,10} though there are over

13,000 annotated protein isoforms in human alone from Swissprot protein database (Release 14.2, September 23, 2008). This clearly represents a major knowledge gap as structures hold key information for the function of protein isoforms. More importantly, it is interesting how different isoforms with largely identical sequences perform different functions. In addition, isoform structure prediction represents a great challenge to homology modeling techniques for accurately modeling structures with indels¹¹⁻¹³.

The lack of such information has prompted several recent studies on AS isoform structures by mapping the sequence fragment affected by the alternative splicing events onto known isoform or homologous structures¹⁴⁻¹⁸. While there are several types of splicing events that result in different splice isoforms when compared to the primary sequences, such as truncation, substitution, insertion and deletion, the internal insertion/deletion cases are the dominant form of alternative splicing variants and are of great interest due to its potential impact on the folding and stability of isoform structures^{6,16}. Conflicting results have been reported in studying the effects of indels on the isoform structures. Tress *et al.* concluded that internal insertion/deletions may have larger structural impact and AS isoform is an unlikely route to increase functional diversity¹⁶. However, three other large scale analyses offered a different

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view and suggested that protein structures have some degree of “plasticity” to tolerate insertions and deletions^{14, 15, 17}. Based on threading analysis, Wang *et al.* found that most of the splicing isoforms probably adopt the same structural folds of their full-length counterparts and the boundaries of AS events generally happen in coil regions and involve exposed residues¹⁴. Romero *et al.* revealed an association between protein disorder and alternative splicing events¹⁵. These conflicting views will not be resolved unless we have more experimentally determined structures of the alternatively spliced isoforms, which might take a long time¹⁹.

To gain more insight into the structural changes of AS isoforms with internal indels (or gaps) and to shed light on protein evolution, we performed a systematic structural analysis of protein structural pairs that have high sequence similarity and contain internal indels. The basic idea behind this study is the analogy between the AS variants with internal insertions/ deletions and the homologous protein pairs diverged over the course of evolution through insertions and deletions. Analysis of sequence or structural indels/gaps in similar proteins has been attempted since early 90’s²⁰⁻²⁴. However, our study is significantly different from previous studies in several aspects. First, the major goal of our study is to systematically study *structural* changes in highly homologous proteins with indels/gaps based on *sequence* alignment and to gain valuable information for AS isoform structure prediction. Previous studies on indels primarily focused on some of the statistics of the indels/gaps in the proteins that are structurally similar but do not necessarily have high sequence homology^{20, 22}. The very recent “Indel PDB” database reported the secondary structure composition and solvent accessibility of indel sequences, but its focus is not to address the structural changes affected by indel sequences²⁴. Secondly, we compiled a non-redundant dataset of *highly homologous* protein pairs with internal gaps (see Methods section) while other analyses used sequentially or structurally similar protein pairs with sequence similarity ranging from very low to very high^{20, 22-24}. More importantly, we applied an extra filter to ensure high similarity of sequences flanking the indels, which dramatically reduced the possibility of having “random” indel

positions and sequences due to low local sequence similarity.

Another unique feature of our approach is that we considered disordered segments in our structural comparison analysis. It has been shown that intrinsically disordered or unstructured regions are responsible for many important cellular functions^{25, 26}. A recent study by Dunker’s group revealed the link between alternative splicing and protein intrinsic disorder, suggesting structural and functional diversity through alternative splicing¹⁵. However data generated from previous studies did not include protein pairs in which the indels or flanking regions are disordered. In some cases, proteins with disordered indels were intentionally filtered out for the purpose of assigning secondary structures for the indel fragments²⁴.

Here we report our findings from a systematic analysis of a non-redundant dataset with highly homologous protein pairs. We found that the indels tend to have less regular secondary structures (both α -helices and β -strands), but are rich in disordered “conformation” when compared to a non-redundant reference protein dataset. Proteins with indels occurring in the middle of regular secondary structures generally preserve the structural fold and at the same time go through local structure rearrangement and refolding for structural stability. In addition, we found that the immunoglobulin (Ig) family is heavily overrepresented in the indel dataset. Therefore we generated a new dataset by removing indels derived from the Ig family members to avoid bias in statistical analysis. We believe this study can serve as a useful resource for modeling homologous structures as well as the alternatively spliced protein isoform structures, and shed light on protein evolution.

2. METHODS

2.1. Datasets and method overview

Three different datasets are used in this study. The first dataset (Dataset I) contains a list of 25674 protein chains culled from the PISCES “pdbaanr” dataset that includes representative protein chains based on the resolution and R-values among a group of protein chains having up to 100% sequence

types, H (helix), E (strand), C (coil), and U (unstructured/disordered) and three-state solvent classification, buried (B), intermediate (I), and exposed (E) with 7% and 37% as the thresholds to define these three states, that is, $\leq 7\%$, $7\% <$ and $\geq 37\%$, and $> 37\%$ ³³. The disordered residues or fragments were defined by comparing the “ATOM” and “SEQRES” records in PDB file. If a residue or a fragment appears in “SEQRES”, but is missing from the “ATOM” record in a PDB file, this residue or fragment is considered as disordered or unstructured³⁴.

2.4. Structure comparison and modeling

To examine the structural changes caused by the indels, the two protein structures of each indel pair were compared using two structure alignment programs, FAST³⁵ for global structure alignment and CE³⁶ for local structure alignment. The differences between the structure- and sequence-alignments of each pair were then evaluated. A webserver was developed at <http://bioinfozen.uncc.edu/scindel> for a convenient visualization of both the sequence and structure alignments. All the analyses were done with Python scripts developed in our lab. The comparative modeling was done using MODELLER³⁷.

3. RESULTS

3.1. Non-redundant indel dataset

A total of 25,674 protein chains that meet the selection criteria as described in Methods section were clustered into 9,513 groups using BLASTCLUST with 50% sequence identity cutoff and 40% coverage cutoff. After filtering out redundant protein chains in each cluster, 1,607 clusters have at least two protein chains. Except for the largest cluster that contains 499 protein chains belonging to the immunoglobulin family, no other clusters have more than 20 protein chains. Based on sequence alignments, there are a total of 1,296,086 indels from 179,262 distinct pairs with internal indels/gaps. The number of indels at this step is higher than that in Indel PDB (488,038) as we used a different coverage in BLASTCLUST²⁴. We then applied the four filters to generate a dataset of 454

non-redundant indel sequences (called Indel NR): 1) at least 75% sequence identity between the pair in aligned regions and the flanking regions of indels/gaps (20 AA on each side); 2) false gaps/indels removal; 3) indel length of 40 AA or shorter; and 4) redundant indel sequence removal as described in Methods.

3.2. Statistical analysis of non-redundant indel sequences

Based on SCOP protein structure classification using the latest 1.73 release³⁸, the protein chains that harbor the 454 indel sequences belong to at least 97 different families, 110 superfamilies, and 127 different folds (some newly solved structures have yet to be annotated in SCOP). These protein chains on average have good fold coverage (~ 4 protein chains/fold). However, one protein family (b.1.1.1) dominates the indel sequences with 219 sequences. More specifically, these indel fragments are generally from the third complementarity-determining region of the immunoglobulin (Ig) heavy chain (CDR-H3), which is the most diverse region and plays a crucial role in antigen recognition and binding specificity^{39, 40}. The CDR-H3 loops are dominated by residues tyrosine (Y), glycine (G), and serine (S), which can heavily skew the amino acid frequencies towards the composition of CDR-H3^{40,41}. Due to the over-representation of indels from Ig proteins and the fact that the indels derived from these proteins are irrelevant to the AS analogy of our interest, we compiled three different indel datasets for statistical analysis: Ig indels, Non-Ig indels (152 protein pairs), and Ig+Non-Ig indels. Our data confirmed that tyrosine, glycine, and serine residues dominate the indel sequences from immunoglobulin proteins (Ig) (Figure 4A). The Ig dataset has about five times more tyrosine residues over the background level while several other amino acid types are underrepresented. Figure 4A shows that inclusion or exclusion of Ig indel sequences can result in major differences in amino acid compositions, which has not been reported in previous indel sequence studies²⁴.

Dataset Non-Ig is enriched in residues G, E, D, K, and S, but is depleted in residues F, I, L, V, W, and Y when compared with the background frequencies, suggesting that indels have more residues with high propensity to coil structure (G, D,

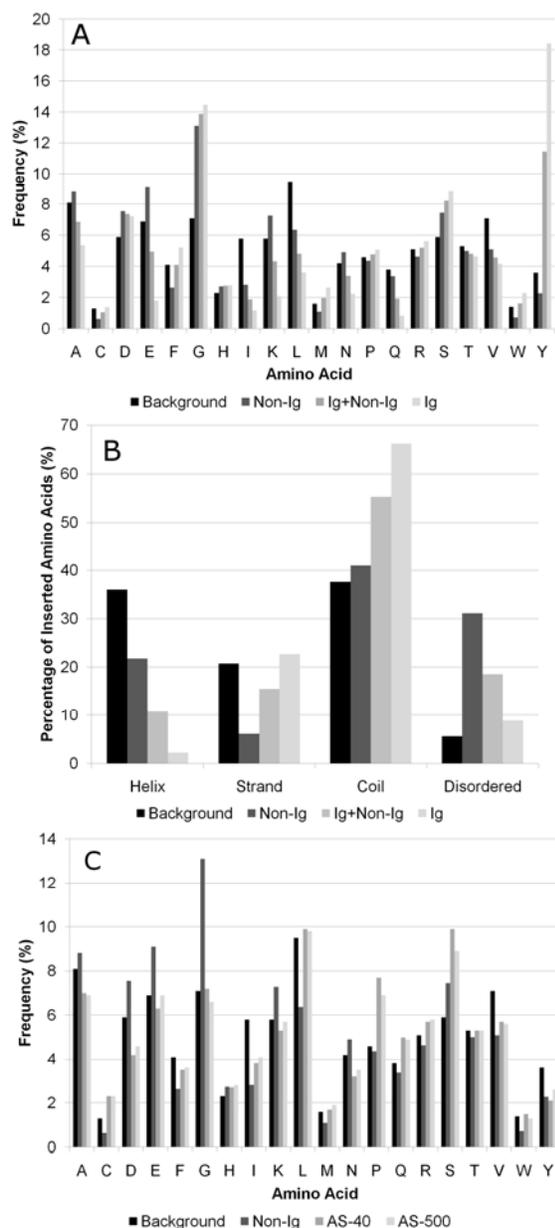


Figure 4. Frequencies of amino acids (A and C) and secondary structure types (B) of the indel sequences.

and S) and less residues that prefer an α -helix or β -sheet conformation (F, I, L, V, W, and Y) (Figure 4A)¹³. Analysis of secondary structure types is consistent with the amino acid composition analysis of indel sequences. While there is a dramatic decrease in the number of residues that adopt regular secondary structures, especially the sheet conformations, the number of coil residues is only slightly more than that from the background distribution (Figure 4B). Instead, relative to the

background frequencies, indel sequences have markedly increased number of residues in disordered state (over five-fold increase) (Figure 4B). Taken together, the majority of the indel sequences adopted either coil or disordered “conformation”, consistent with previous observations that insertions/deletions are most likely to occur in loop regions or between regular secondary structure elements and thus preserve the overall structural fold¹⁹. Similar observations have been reported for alternative splicing events, which by and large prefer coil regions and exposed residues^{14, 15}.

It is interesting to see if there is any similarity between the above indel statistics and that from AS indel sequences. We compiled a non-redundant human AS indel sequences from UniProtKB. The distribution of the human AS indel sequences showed that majority of the sequences (~93%) is shorter than 500 amino acids. We constructed a human AS dataset (AS-500) by excluding very long indel sequences. In addition, we generated a second set (AS-40) with human AS indels that have 40 or less amino acids since our indel sequences are generally shorter than 40 amino acids. As shown in Figure 4C, there are essentially no differences between AS-500 and AS-40 in terms of amino acid composition. While several amino acids displayed similarities to the background distributions but were different from the Non-Ig set (G, E, F, L, K, and W), amino acids I, V, S and Y, on the other hand, have similar frequencies to those in the Non-Ig set. The decreased frequencies of isoleucine (I) and valine (V) suggests that the isoform may adopt less β -sheet structures. Another interesting observation is that proline (P) and glycine (G) showed different patterns in Non-Ig and AS datasets. Glycine is dramatically increased in the Non-Ig set while more proline residues are seen in the AS datasets. It is well known that both proline and glycine have high propensity to coil conformations. Changes in serine and tyrosine might have functional implications in alternatively spliced isoforms. In addition to its ability to serve as functional residue, serine is often observed in loops. Therefore despite the differences, both the Non-Ig and human AS datasets are rich in residues that prefer coil or loop conformations and are depleted in β -sheet forming residues.

3.3. Structural changes by indels

Global structural changes by indels in the Non-Ig dataset were examined using FAST. Figure 5 shows the histogram of the root-mean-square-deviations (RMSDs) of the structure alignments. Most of the pairs have small structural changes induced by the indels (about 87% pairs with less than 2Å RMSDs), suggesting that protein structure in general can tolerate and accommodate the indels^{17, 19}. Although a small number of pairs show large RMSDs, we found that all the 9 pairs with RMSD more than 4Å are the results of indels acting as “pivots”, causing changes

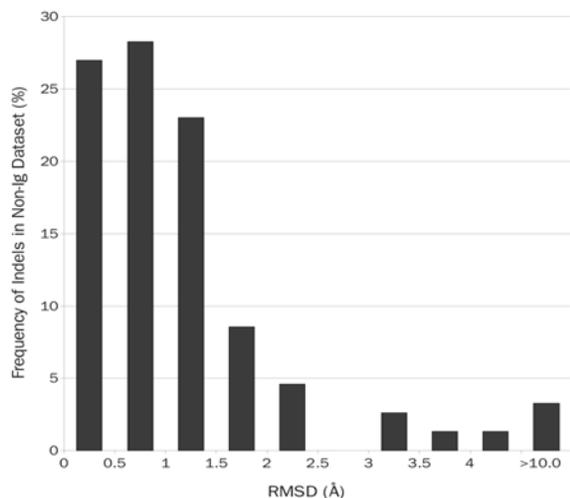


Figure 5. Global structural changes due to indels

in the relative orientations of the domains rather than a fold change. For example, though the pair IUX5A-IUX4A (with a four-residue indel sequence REDL folding as a helical structure) has the largest global RMSD of 22.85 Å (Figure 6A), they have almost identical structures separated by the indel sequence, with RMSDs of 0.95 Å and 1.11 Å, respectively (Figure 6B and 6C).

The insertion of indels could result in several major types of structural changes (Figure 7). One is that the indel sequence folds as a separate domain as seen in 1AD2A-20V7A (Figure 7A). The second type is that the indel is disordered (Figure 7C) or adopts a longer loop (Figure 7B and 7F). It is not surprising that the overall structures are conserved well as in general insertions/deletions tend to occur in the loop regions, which are relatively flexible.

One of the most interesting questions concerns the structural change if the indel events occupy or happen in the middle of a secondary structure

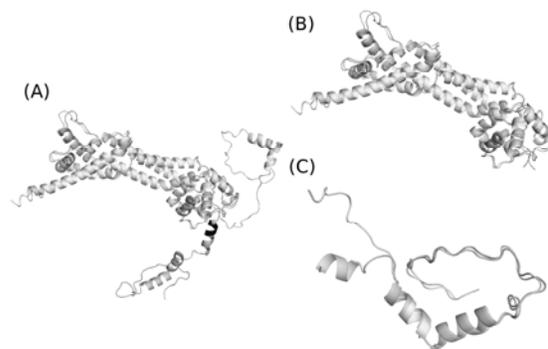


Figure 6. Structure comparison between IUX5A and IUX4A. Dark color represents the indel sequence.

elements, especially when the deletion of internal strands from a β -sheet as reported in previous studies^{13, 17, 42}. The deletion of β -strands of a β -sheet presents a tremendous challenge and is problematic for comparative modeling approaches. In our Non-Ig dataset, about 15% (23 out of 152) of its indel sequences were flanked at each side by two or more consecutive amino acids with helix or strand conformations. We found in these cases, the core secondary structures tend to be conserved even though one strand in the longer form is deleted compared to the short form (Figure 7D and 7E). This is accomplished by folding the neighboring sequences as the structural conformation and filling the “hole” left with the strand deletion.

3.4. Homology modeling of protein structures with indels

Homology modeling of proteins to see the effect of indels has been proven difficult. One (in)famous case is the modeling of a protein called Piccolo¹¹. In the short isoform, a nine-residue fragment that is missing in the alternatively spliced form of Piccolo C2A domain folds as a β -strand in the long isoform. The short and long isoforms have different calcium binding affinity. Surprisingly, the short variant maintains the structural fold by moving a short fragment that flanks the strand and folds as a strand in the short isoform. Figure 8 shows two more examples that current modeling techniques would fail to accurately predict the structure of one protein using the other one as template (Figure 8ABC: 1EKXA-2ATCA Figure 8DEF: 2HKDA-2AF5A). Assuming we only have the short form (Figure 8B, 8E) or the long form (Figure 8C, 8F)

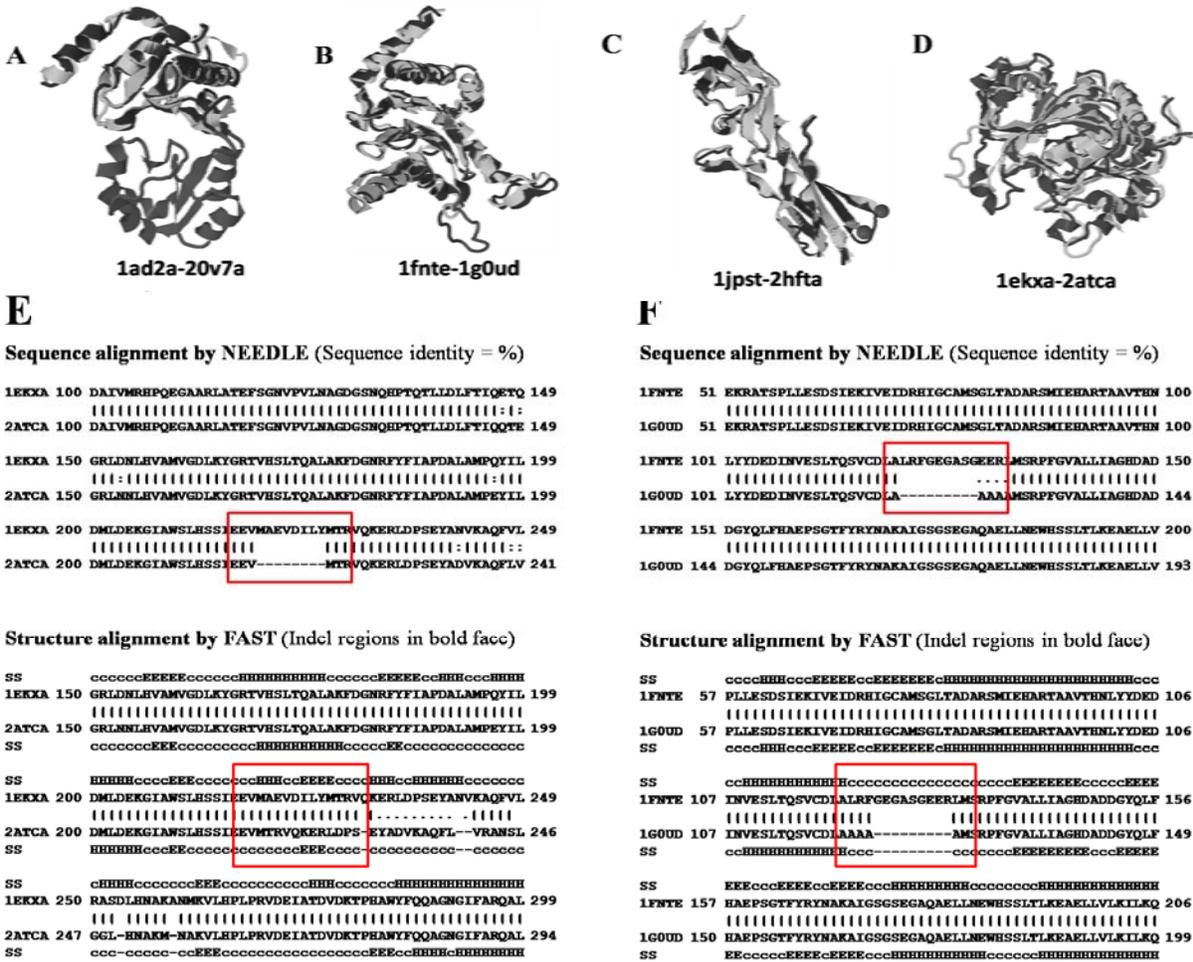


Figure 7. Structural comparisons of protein pairs with indels

structures and use them to model the long form (short form as template) and short form (long form as template) structures based the sequence alignments. As seen in Figure 8BC and 8EF, both the longer (with insertion) and shorter (with deletion) structures are not correct. When the *real* longer and shorter forms were superimposed, the location of structural difference was not at where the indel is located (Figures 8A and 8D). The same structural conformations (dark in both short and long forms) are from different sequences. However, in the homology models the longer forms were generated merely by inserting surface loops (Figures 8B and 8E) and the shorter forms were made by deleting the strands (indels) and connecting the flanking regions (Figures 8C and 8F). Our structural analysis by aligning the homologous structure through multiple structure alignments showed that the indel structures are

conserved in homologous proteins while the variable regions are in the downstream of the indel site (data not shown), suggesting we can make a better model by refining the sequence alignment guided by structural information rather than relying only on the optimal sequence alignment.

4. DISCUSSION

We performed a systematic study to investigate the structural changes caused by indel sequences, by mining the highly homologous protein pairs with internal gaps/indels. The goal is to gain insights into the mechanism of protein evolution and provide guides to model protein structures with indels compared with the homologous templates. In addition to protein evolution, indels can be also the results of alternative splicing. Considering the contribution of alternative splicing in expanding the protein

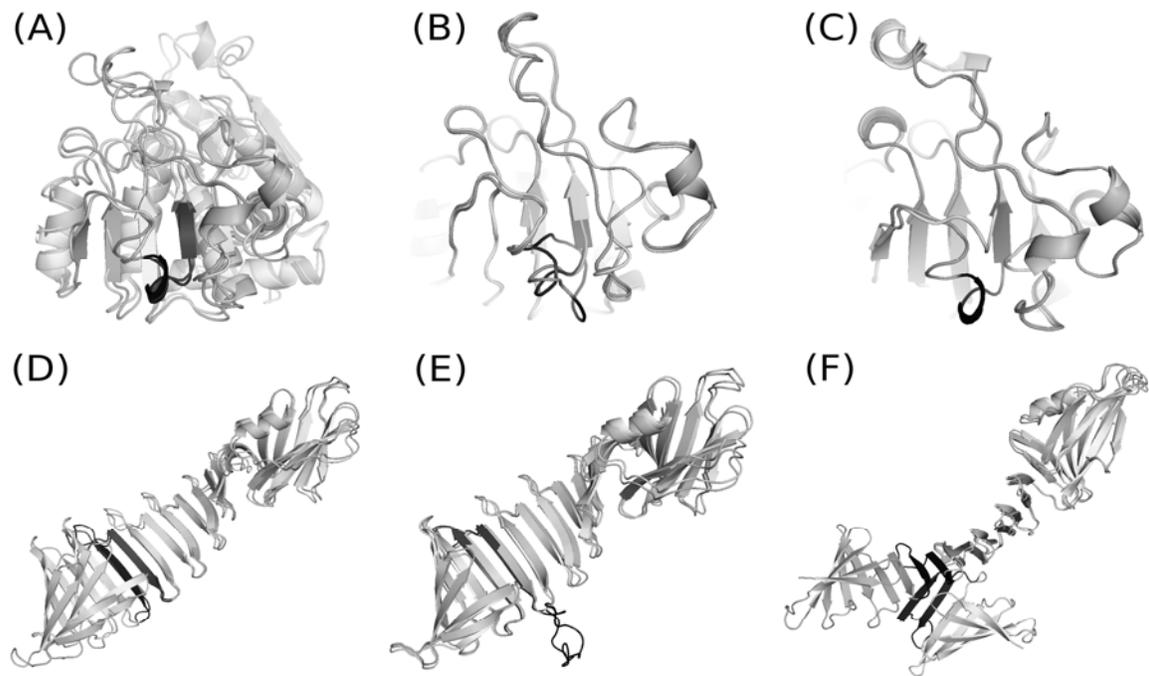


Figure 8. Homology modeling of proteins with indels

functionality, the importance of studying the effect of indels on structural change cannot be overstated. We found that the indels tend to occur between secondary structure elements and a significant number of indels are disordered, which is consistent with the earlier study that demonstrated the associations among indels/disordered/ function¹⁵. We consider this as one of the major contributions from this study as previous studies did not take disordered information into account. In addition, protein structures have inherent capability to tolerate structural deletions and insertions^{13, 17}. Despite the interruption of regular secondary structures, structural folds are conserved through local structure rearrangements and refolding (Figure 7DE and Figure 8).

The rationale of choosing highly homologous protein pairs (both for the overall and indel flanking sequences) is two-fold: 1) to provide a better approximation to the AS isoforms of interest with internal gaps; and 2) to avoid the positioning of “random gaps” due to low local sequence similarity even though the overall sequence similarity is high (Figure 2). These steps ensure the unique positions of the indels and the unambiguous indel sequences, reducing the possibility of including those sequences due to sequence alignment error. An interesting

finding in the analysis of indels is the abundance of tyrosine, glycine and serine²⁴. We reported here, for the first time, that the heavy amino acid bias in indel sequences is due to the overrepresentation of one fold family, the immunoglobulin proteins. To make the statistics of indels' amino acid composition and secondary structure content meaningful, we constructed a dataset without immunoglobulin proteins. Although these indels showed differences from those of human AS datasets in terms of amino acid frequencies, some key features are very similar (Figure 4C).

Our analysis retrieved all AS isoform pairs that exist in the PDB except for 1Q56A-1PZ9A, structures of the C-terminal agrin domain. It is not surprising that our procedure missed this pair as 1Q56A was solved by NMR method, which we did not include in our initial data selection. The pair can be easily detected when we add the NMR structure to the dataset.

The very question about modeling isoform structures or structural changes due to indels is to improve the sequence alignment used for comparative modeling. No matter how good a comparative modeling program is, it cannot recover from the alignment error. The pitfall of current

homology modeling techniques is that they heavily rely on the sequence similarity. We believe this systematic analysis, along with earlier reports on individual or a small number of case studies will serve as the tip of the iceberg in our understanding of the structural plasticity of proteins and how the indels are accommodated by the structure and at the same time deliver a new functionality.

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